

Revised 9/20/96

THE HEIMLICH INSTITUTE FOUNDATION, INC.
BOARD OF TRUSTEES
1995-1996



P.O. BOX 8858
CINCINNATI, OH 45208
513-221-0002
Fax 513-221-0003

*Harry W. Whittaker, Pres.
2497 Grandin Road
Cincinnati, OH 45208

Soc. Sec. # [REDACTED]
Gradison & Co.
580 Walnut Street
Cincinnati, OH 45202
(513) 579-5000

Philip M. Heimlich, Vice Pres.
6680 Lyceum Court
Cincinnati, OH 45230
(513) 624-9155

Soc. Sec. # [REDACTED]
Cincinnati City Hall
801 Plum Street
Cincinnati, OH 45202
(513) 352-3647

Joseph J. Dehner, Secretary
822 Yale Avenue
Terrace Park, OH 45174

Soc. Sec. # [REDACTED]
Frost & Jacobs
2500 Central Trust Tower
Cincinnati, OH 45202
(513) 651-6800
(513) 651-6166-Kathy Barrett
(513) 651-6819 Fax

*Cedric W. Vogel, Treasurer
2270 Madison Road
Cincinnati, OH 45208

Soc. Sec. # [REDACTED]
500 Atlas Bank Building
524 Walnut Street
Cincinnati, OH 45202
(513) 421-4225

*Henry J. Heimlich, M.D.
17 Elmhurst Place
Cincinnati, OH 45208

Soc. Sec. # [REDACTED]
Heimlich Institute
2368 Victory Pkwy., #410
Cincinnati, OH 45206
(513) 221-0002

Mrs. Winston C. Atteberry
Box 629
Eunice, LA 70535
(318) 457-2705

Soc. Sec. # [REDACTED]

Mr. George Blake
Cincinnati Enquirer
312 Elm Street
Cincinnati, OH 45202

Soc. Sec.
(513) 768-8094 direct

Kathy Carr
Ray Carr
3057 Saddleback Drive
Cincinnati, OH 45244
(513) 231-3010

Soc. Sec. # [REDACTED]
Soc. Sec. # [REDACTED]
K wk. (513) 871-2221
R wk. (513) 621-4777

Benefiting
Humanity
Through
Health
and
Peace



THE
HEIMLICH
INSTITUTE
FOUNDATION, INC.

P.O. BOX 8858

CINCINNATI, OH 45208

513-221-0002

Fax 513-221-0003

Mrs. Arthur Murray (Kathryn)
2877 Kalakuau Avenue
Honolulu, HI 96815
(808) 924-4094

Soc. Sec. # [REDACTED]

Monte L. Rovekamp
2864 Crescent Springs Pike
Erlanger, KY 41018
(606) 341-6050

Soc. Sec. # [REDACTED]
P.O. Box 19129
Cincinnati, OH 45219-0129

William P. Sheehan
1673 Braintree
Cincinnati, OH 45255
(513) 231-7467

Soc. Sec. # [REDACTED]
(614) 466-3206

Charles J. Squeri (Chuck)
c/o Squeri Food Service Inc
619 Linn Street
Cincinnati, OH 45203

Soc. Sec. # [REDACTED]
Squeri Foods
619 Linn Street
Cincinnati, OH 45203
(513) 381-1106

Anson Williams
24615 Skyline View Drive
Malibu, CA 90265

Soc. Sec. # [REDACTED]
(213) 850-2685 (office)
(213) 657-4861 (home)

Dr. Paul Winchell
32262 Oakshore Drive
Westlake Village, CA 91361
(818) 991-5754

Soc. Sec. # [REDACTED]

* These trustees have the discretion as to the distribution of contributions.

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311 STRAIGHT
STREET
CINCINNATI
OHIO
45219
513-559-2391
FAX 513-559-2403
heimlich@iglou.com

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Revised: June 1998

**THE HEIMLICH INSTITUTE
BOARD OF TRUSTEES
1998**

***John Gall, President**
Massachusetts Casualty Ins. Co.
2150 Gilbert Avenue
Cincinnati, Ohio 45206

(513) 751-9600
(513) 751-9613 (Fax)
(513) 221-8112 (Home)

Philip M. Heimlich, Vice President
6680 Lyceum Court
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(513) 352-4640 (Fax)

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(513) 651-6166 (Kathy Barrett)
(513) 651-6981 (Fax)

***Cedric W. Vogel, Treasurer**
2270 Madison Road
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Vogel, Heis, Wenstrup & Cameron
817 Main Street, 8th Floor
Cincinnati, Ohio 45202 - 2134
(513) 421-4225
(513) 639-2547 (Fax)

***Henry J. Heimlich, M.D.**
17 Elmhurst Place
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(513) 559-2403 (Fax)

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Eunice, LA 70535
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Cincinnati, Ohio 45202

(513) 768-8298 (Direct)
(513) 768-8079 (Fax)

200 17
Attachment C -



311 STRAIGHT
STREET
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OHIO
45219
513-559-2391
FAX 513-559-2403
heimlich@iglou.com

**Benefiting
Humanity
Through
Health
and
Peace**

Kathy Carr
Ray Carr
3057 Saddleback Drive
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(513) 871-2221 (Work)
(513) 621-4777 (Work)
(513) 231-3010 (Home)
(513) 621-4771 (Fax)

Mrs. Arthur Murray (Kathryn)
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(606) 341-6950 (fax)

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1673 Braintree
Cincinnati, Ohio 45255

(614) 466-3206
(513) 231-7467

Richard Weiland
1055 St. Paul Place
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2444 Madison Road, #1406
Cincinnati, Ohio 45208
(513) 421-8527 - 421-8430
(513) 871-5248 (Home)
(513) 381-0124 (Fax)

Harry W. Whittaker
2497 Grandin Road
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Gradison & Co.
580 Walnut Street
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(513) 579-5000
(513) 579-5982 (Fax)

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(213) 850-2685 (Office)
(213) 657-4861 (Home)

Dr. Paul Winchell
32262 Oakshore Drive
Westlake Village, California 91361

(818) 991-5754

* These trustees have the discretion as to the distribution of contributions.

THE HEIMLICH INSTITUTE FOUNDATION
BOARD OF TRUSTEES

| | |
|---|---|
| John Gall, President Health & Life Underwriters Agency, Inc 2144 Gilbert Avenue Cincinnati, OH 45206 | (513) 751-9600 (513) 751-9613 (Fax) (513) 221-8112 (Home) |
| Philip M. Heimlich, Vice President 6680 Lyceum Court Cincinnati, OH 45230 | (513) 624-9155 |
| Joseph J. Dehner, Secretary Frost Brown & Todd 201 E. Fifth Street Cincinnati, OH 45202 | (513) 651-6800 (651-6949) (513) 651-6166 (Kathy Barrett) (513) 651-6981 (Fax) |
| Cedric (Rick) W. Vogel, Treasurer Vogel, Heis, Wenstrup & Cameron 817 Main Street, 8 th Fl. Cincinnati, OH 45202-2134 | (513) 421-4225 (513) 639-2547 (Fax) |
| Henry J. Heimlich, M.D. The Heimlich Institute 311 Straight Street Cincinnati, OH 45219 | (513) 559-2391 (513) 559-2403 (Fax) (513) 871-7711 |
| George Blake 624 Watchvove Court Cincinnati, OH 45230 | (513) 762-7719 (513) 762-7758 (Fax) (513) 232-3434 (Home) 702-3173 (Cell) |
| John Davies 8044 Montgomery Road, Ste. 163 Cincinnati, OH 45236 | (513) 791-6699 (513) 791-6729 (Fax) |
| Harry W. Whittaker 2200 Victory Parkway Cinti., OH 45208 | (513) 961-8686 |

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1055 St Paul Place
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(513) 871-5248 (Home)
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(310) 456- 5775 (Home)

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Erlanger, KY 41018

(859) 341-6050
(859) 341-6950 (Fax)

James L. Pahls
7522 Muchmore Close
Cincinnati, OH 45243

(513) 272-2318

FORM 990 OTHER SECURITIES STATEMENT 5

| SECURITY DESCRIPTION | COST/FMV | OTHER SECURITIES |
|------------------------------------|----------|------------------|
| FIXED INCOME AND EQUITY SECURITIES | FMV | 630,392. |
| NOTE RECEIVABLE | FMV | 10,000. |
| TO FORM 990, LINE 54, COL B | | 640,392. |

FORM 990 PART V - LIST OF OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES STATEMENT 6

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN-SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|---|-----------------------|---------------|---------------------------|-----------------|
| HENRY J. HEIMLICH M.D. 311 STRAIGHT STREET CINCINNATI, OH 45219 | TRUSTEE 40 | 12,000. | 0. | 0. |
| JOHN GALL 311 STRAIGHT STREET CINCINNATI, OH 45219 | PRESIDENT 20 | 0. | 0. | 0. |
| PHILIP M. HEIMLICH 311 STRAIGHT STREET CINCINNATI, OH 45219 | VICE-PRESIDENT 5 | 0. | 0. | 0. |
| JOSEPH J. DEHNER 311 STRAIGHT STREET CINCINNATI, OH 45219 | SECRETARY 5 | 0. | 0. | 0. |
| PIOTR CHOMCZYNSKI 311 STRAIGHT STREET CINCINNATI, OH 45219 | TRUSTEE 1 | 0. | 0. | 0. |
| WILLIAM O. MASHBURN 311 STRAIGHT STREET CINCINNATI, OH 45219 | TRUSTEE 1 | 0. | 0. | 0. |
| TOM POWELL 311 STRAIGHT STREET CINCINNATI, OH 45219 | TRUSTEE 1 | 0. | 0. | 0. |

THE HEIMLICH INSTITUTE FOUNDATION

23-7303161

| | | | | |
|-------------------------------------|---------|----------------|-----------|-----------|
| RICHARD WEILAND | TRUSTEE | | | |
| 311 STRAIGHT STREET | 1 | 0. | 0. | 0. |
| CINCINNATI, OH 45219 | | | | |
| | | | | |
| HARRY W. WHITTAKER | TRUSTEE | | | |
| 311 STRAIGHT STREET | 1 | 0. | 0. | 0. |
| CINCINNATI, OH 45219 | | | | |
| | | | | |
| E. ANTHONY WOODS | TRUSTEE | | | |
| 311 STRAIGHT STREET | 1 | 0. | 0. | 0. |
| CINCINNATI, OH 45219 | | | | |
| | | | | |
| TOTALS INCLUDED ON FORM 990, PART V | | <u>12,000.</u> | <u>0.</u> | <u>0.</u> |

Part IV-A Reconciliation of Revenue per Audited Financial Statements With Revenue per Return (See the instructions.)

| | | | | |
|----------|--|-----------|----------|-----|
| a | Total revenue, gains, and other support per audited financial statements | | a | N/A |
| b | Amounts included on line a but not on Part I, line 12: | | | |
| 1 | Net unrealized gains on investments | b1 | | |
| 2 | Donated services and use of facilities | b2 | | |
| 3 | Recoveries of prior year grants | b3 | | |
| 4 | Other (specify): _____ | b4 | | |
| | Add lines b1 through b4 | | b | |
| c | Subtract line b from line a | | c | |
| d | Amounts included on Part I, line 12, but not on line a: | | | |
| 1 | Investment expenses not included on Part I, line 6b | d1 | | |
| 2 | Other (specify): _____ | d2 | | |
| | Add lines d1 and d2 | | d | |
| e | Total revenue (Part I, line 12). Add lines c and d | | e | |

Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

| | | | | |
|----------|--|-----------|----------|-----|
| a | Total expenses and losses per audited financial statements | | a | N/A |
| b | Amounts included on line a but not on Part I, line 17: | | | |
| 1 | Donated services and use of facilities | b1 | | |
| 2 | Prior year adjustments reported on Part I, line 20 | b2 | | |
| 3 | Losses reported on Part I, line 20 | b3 | | |
| 4 | Other (specify): _____ | b4 | | |
| | Add lines b1 through b4 | | b | |
| c | Subtract line b from line a | | c | |
| d | Amounts included on Part I, line 17, but not on line a: | | | |
| 1 | Investment expenses not included on Part I, line 6b | d1 | | |
| 2 | Other (specify): _____ | d2 | | |
| | Add lines d1 and d2 | | d | |
| e | Total expenses (Part I, line 17). Add lines c and d | | e | |

Part V-A Current Officers, Directors, Trustees, and Key Employees (List each person who was an officer, director, trustee, or key employee at any time during the year even if they were not compensated.) (See the instructions.)

| (A) Name and address | (B) Title and average hours per week devoted to position | (C) Compensation (If not paid, enter -0-.) | (D) Contributions to employee benefit plans & deferred compensation plans | (E) Expense account and other allowances |
|---|--|--|---|--|
| HENRY HEIMLICH, MD 311 STRAIGHT STREET CINCINNATI, OH 45219 | TRUSTEE 40.00 | 12,000. | 0. | 0. |
| PHILIP M. HEIMLICH 311 STRAIGHT STREET CINCINNATI, OH 45219 | VICE-PRESIDENT 5.00 | 0. | 0. | 0. |
| BARBAR LOHR 311 STRAIGHT STREET CINCINNATI, OH 45219 | SECRETARY 5.00 | 0. | 0. | 0. |
| E. ANTHONY WOODS 311 STRAIGHT STREET CINCINNATI, OH 45219 | CHAIRMAN 20.00 | 0. | 0. | 0. |
| JOHN DUNN 311 STRAIGHT STREET CINCINNATI, OH 45219 | TRUSTEE 1.00 | 0. | 0. | 0. |
| ----- | | | | |
| ----- | | | | |
| ----- | | | | |
| ----- | | | | |

Part IV-A Reconciliation of Revenue per Audited Financial Statements With Revenue per Return (See the instructions.)

| | | | | |
|----------|--|----|----------|-----|
| a | Total revenue, gains, and other support per audited financial statements | | a | N/A |
| b | Amounts included on line a but not on Part I, line 12: | | | |
| 1 | Net unrealized gains on investments | b1 | | |
| 2 | Donated services and use of facilities | b2 | | |
| 3 | Recoveries of prior year grants | b3 | | |
| 4 | Other (specify): | b4 | | |
| | Add lines b1 through b4 | | b | |
| c | Subtract line b from line a | | c | |
| d | Amounts included on Part I, line 12, but not on line a: | | | |
| 1 | Investment expenses not included on Part I, line 6b | d1 | | |
| 2 | Other (specify): | d2 | | |
| | Add lines d1 and d2 | | d | |
| e | Total revenue (Part I, line 12). Add lines c and d | | e | |

Part IV-B Reconciliation of Expenses per Audited Financial Statements With Expenses per Return

| | | | | |
|----------|--|----|----------|-----|
| a | Total expenses and losses per audited financial statements | | a | N/A |
| b | Amounts included on line a but not on Part I, line 17: | | | |
| 1 | Donated services and use of facilities | b1 | | |
| 2 | Prior year adjustments reported on Part I, line 20 | b2 | | |
| 3 | Losses reported on Part I, line 20 | b3 | | |
| 4 | Other (specify): | b4 | | |
| | Add lines b1 through b4 | | b | |
| c | Subtract line b from line a | | c | |
| d | Amounts included on Part I, line 17, but not on line a: | | | |
| 1 | Investment expenses not included on Part I, line 6b | d1 | | |
| 2 | Other (specify): | d2 | | |
| | Add lines d1 and d2 | | d | |
| e | Total expenses (Part I, line 17). Add lines c and d | | e | |

Part V-A Current Officers, Directors, Trustees, and Key Employees (List each person who was an officer, director, trustee, or key employee at any time during the year even if they were not compensated.) (See the instructions.)

| (A) Name and address | (B) Title and average hours per week devoted to position | (C) Compensation (if not paid, enter -0-) | (D) Contributions to employee benefit plans & deferred compensation plans | (E) Expense account and other allowances |
|---|--|---|---|--|
| HENRY HEIMLICH, MD 311 STRAIGHT STREET CINCINNATI, OH 45219 | TRUSTEE 40.00 | 12,000. | 0. | 0. |
| PHILIP M. HEIMLICH 311 STRAIGHT STREET CINCINNATI, OH 45219 | VICE-PRESIDENT 5.00 | 0. | 0. | 0. |
| BARBARA LOHR 311 STRAIGHT STREET CINCINNATI, OH 45219 | SECRETARY 5.00 | 0. | 0. | 0. |
| E. ANTHONY WOODS 311 STRAIGHT STREET CINCINNATI, OH 45219 | CHAIRMAN 20.00 | 0. | 0. | 0. |
| JANE MARY TENHOVER 311 STRAIGHT STREET CINCINNATI, OH 45219 | TRUSTEE 1.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |